

Legal Risk and Compliance in Financial Regulation

Starting from the volume by Roger McCormick “Legal Risk in the Financial Markets” (Oxford University Press, 2nd ed., 2010), the workshop will discuss the most important issues on legal risk and compliance in financial regulation.

The workshop is part of the course on “Advanced Law for Economics” held at the Faculty of Economics of Sapienza, University of Rome and focused on financial regulation.

The workshop is organised with the support of “AISES - International Academy for Social and Economic Development” and “AICOM - Associazione Italiana Compliance”.



Legal Risk and Compliance in Financial Regulation

International Workshop

Friday, 25 November 2011
Sala del Consiglio

Faculty of Economics

Via del Castro Laurenziano, n. 9
00161 Roma

Workshop programme

WELCOME

H. 9,45
Giuseppe Ciccarone
Dean of the Faculty of Economics

Maurizio Franzini
Head of the Department of
Economics and Law

Roberto Miccù
Coordinator of Economic Law
Division, Sapienza Department of
Economics and Law

FIRST SESSION

H. 10,15
Roger McCormick
London School of Economics and
Political Science

Vincenzo Troiano
Perugia University

H. 11
Coffee break

SECOND SESSION

H. 11,20

Marco Di Pietropaolo
Banca d'Italia

Roberto Aloisio
AICOM

Valerio De Luca
AISES

H. 12,30 - End of workshop

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